

**ABOUT THE TEST** FoundationOne® Heme is a comprehensive genomic profiling test designed to identify genomic alterations within hundreds of cancer-related genes in hematologic malignancies and sarcomas.

**PATIENT**

DISEASE PEDIATRIC Acute myeloid leukemia (AML) (NOS)

NAME

DATE OF BIRTH

SEX

MEDICAL RECORD #

**PHYSICIAN**

ORDERING PHYSICIAN

MEDICAL FACILITY

ADDITIONAL RECIPIENT

MEDICAL FACILITY ID

PATHOLOGIST

**SPECIMEN**

SPECIMEN SITE Bone Marrow

SPECIMEN ID

SPECIMEN TYPE Aspirate

DATE OF COLLECTION

SPECIMEN RECEIVED

**Biomarker Findings**

**Microsatellite status** - MS-Stable

**Tumor Mutational Burden** - Cannot Be Determined

**Genomic Findings**

For a complete list of the genes assayed, please refer to the Appendix.

**MPL** Y252H

**KDM5A** NUP98-KDM5A fusion

- 0 Therapies with Clinical Benefit
- 0 Therapies with Lack of Response

**3 Clinical Trials**

**BIOMARKER FINDINGS**

**Microsatellite status** - MS-Stable

**Tumor Mutational Burden** - Cannot Be Determined

**GENOMIC FINDINGS**

**MPL** - Y252H

**3 Trials** see p. 4

**ACTIONABILITY**

No therapies or clinical trials. see Biomarker Findings section

No therapies or clinical trials. see Biomarker Findings section

THERAPIES WITH CLINICAL BENEFIT  
(IN PATIENT'S TUMOR TYPE)

none

THERAPIES WITH CLINICAL BENEFIT  
(IN OTHER TUMOR TYPE)

none

**GENOMIC FINDINGS WITH NO REPORTABLE THERAPEUTIC OR CLINICAL TRIALS OPTIONS**

For more information regarding biological and clinical significance, including prognostic, diagnostic, germline, and potential chemosensitivity implications, see the Genomic Findings section.

**KDM5A - NUP98-KDM5A fusion**

p. 3

**NOTE** Genomic alterations detected may be associated with activity of certain FDA-approved drugs; however, the agents listed in this report may have varied clinical evidence in the patient's tumor type.

Neither the therapeutic agents nor the trials identified are ranked in order of potential or predicted efficacy for this patient, nor are they ranked in order of level of evidence for this patient's tumor type.

TRF#

BIOMARKER FINDINGS

BIOMARKER

## Microsatellite status

CATEGORY

MS-Stable

### POTENTIAL TREATMENT STRATEGIES

On the basis of clinical evidence, MSS tumors are significantly less likely than MSI-H tumors to respond to anti-PD-1 immune checkpoint inhibitors<sup>1-3</sup>, including approved therapies nivolumab and pembrolizumab<sup>4</sup>. In a retrospective analysis of 361 patients with solid tumors treated with pembrolizumab, 3% were MSI-H and experienced a significantly higher ORR compared with non-MSI-H cases (70% vs. 12%,  $p=0.001$ )<sup>5</sup>.

### FREQUENCY & PROGNOSIS

High MSI (MSI-H) is generally rare in hematologic malignancies compared with solid tumors. Moreover, reports of MSI in hematologic malignancies in the literature are conflicting and varied due to substantial heterogeneity, lack of consensus on the markers and methods used for MSI

assessment, small sample size in most studies, and possible elimination of MSI-positive cells in the bloodstream by immunosurveillance<sup>6</sup>. In studies of acute myeloid leukemia (AML), MSI at any level has been reported at incidences from 6-56%<sup>7-14</sup>; however, contradicting studies reported an absence of MSI in AML<sup>15-16</sup>. Similarly, MSI-H has been observed with incidences of 3-32%<sup>9,11-12,14</sup> or reported as absent in AML<sup>7,15</sup>. In a large study of 1,394 patients with de novo or therapy-related AML, MSI-H was not observed; however, 4.8% of cases demonstrated instability at one microsatellite locus<sup>17</sup>. In addition, a small number of studies have not found a significant correlation of MSI with relapsed AML<sup>11</sup>, nor with progression from MDS to AML<sup>18</sup>, and other publications have reported a high incidence (20-32%) of MSI in de novo AML/MDS<sup>12-14,19</sup>. In contrast, other studies have reported increased incidences of MSI in relapsed or therapy-related AML/MDS compared to de novo disease<sup>10,14,19-24</sup>, and a cell lineage analysis of AML/CML progression found increased MSI associated with relapsed disease after chemotherapy in 3/6 patients<sup>25</sup>. Therefore, the role of MSI in MDS/AML

progression and resistance to chemotherapy is unclear. One study has suggested that organ transplant patients are at higher risk of developing AML/MDS as a result of prolonged immunosuppression, and reported all 7 such patients analyzed exhibited MSI, with 6/7 being MSI-H<sup>26</sup>.

### FINDING SUMMARY

Microsatellite instability (MSI) is a condition of genetic hypermutability that generates excessive amounts of short insertion/deletion mutations in the genome; it generally occurs at microsatellite DNA sequences and is caused by a deficiency in DNA mismatch repair (MMR) in the tumor<sup>27</sup>. Defective MMR and consequent MSI occur as a result of genetic or epigenetic inactivation of one of the MMR pathway proteins, primarily MLH1, MSH2, MSH6, or PMS2<sup>27-29</sup>. This sample is microsatellite-stable (MSS), equivalent to the clinical definition of an MSS tumor: one with mutations in none of the tested microsatellite markers<sup>30-32</sup>. MSS status indicates MMR proficiency and typically correlates with intact expression of all MMR family proteins<sup>27,29,31-32</sup>.

BIOMARKER

## Tumor Mutational Burden

CATEGORY

Cannot Be Determined

### POTENTIAL TREATMENT STRATEGIES

On the basis of strong clinical evidence, elevated TMB may be associated with greater sensitivity to immunotherapeutic agents, including anti-CTLA-4, anti-PD-L1, and anti-PD-1 immune checkpoint inhibitors; approved agents include ipilimumab, atezolizumab, avelumab, durvalumab, cemiplimab-rwlc, pembrolizumab, and nivolumab. Clinical studies have reported associations between elevated TMB and efficacy of PD-1- or PD-L1-targeting therapies, alone or in combination with other agents, in multiple types of solid tumors, including small cell<sup>33-34</sup> and non-small cell<sup>35-47</sup> lung cancer, urothelial carcinoma<sup>42,48-51</sup>,

melanoma<sup>38,42-43,52-56</sup>, colorectal cancer<sup>42,57</sup>, HNSCC<sup>42,58</sup>, and other cancer types<sup>38,56,59-61</sup>. For patients with melanoma, increased TMB has also been reported to be associated with clinical benefit from the CTLA-4 inhibitor ipilimumab<sup>45,62-64</sup>. As the TMB status of this tumor cannot be determined with confidence, the benefit of these therapeutic approaches is unclear.

### FREQUENCY & PROGNOSIS

Acute myeloid leukemia (AML) harbors a median TMB of 1.7 mutations per megabase (mut/Mb), and 0% of cases have high TMB (>20 mut/Mb)<sup>65</sup>. Reports of high TMB are generally rare in leukemia<sup>65</sup>. In a study of 92 patients with various hematologic malignancies, elevated TMB levels (>10 mut/Mb) were not detected in AML (0/5) or ALL (0/1) cases analyzed<sup>66</sup>. Published data investigating the prognostic implications of TMB in AML are limited (PubMed, Oct 2018).

### FINDING SUMMARY

Tumor mutational burden (TMB, also known as mutation load) is a measure of the number of somatic protein-coding base substitution and insertion/deletion mutations occurring in a tumor specimen. TMB is affected by a variety of causes, including exposure to mutagens such as ultraviolet light in melanoma<sup>67-68</sup> and cigarette smoke in lung cancer<sup>69-70</sup>, mutations in the proofreading domains of DNA polymerases encoded by the POLE and POLD1 genes<sup>71-75</sup>, and microsatellite instability (MSI)<sup>71,74-75</sup>. High TMB has been shown to be associated with sensitivity to immune checkpoint inhibitors, including anti-CTLA-4 therapy in melanoma<sup>62</sup>, anti-PD-L1 therapy in urothelial carcinoma<sup>48</sup>, and anti-PD-1 therapy in non-small cell lung cancer and colorectal cancer<sup>4,70</sup>, potentially due to expression of immune-reactive neoantigens in these tumors<sup>70</sup>. However, the TMB level in this sample could not be determined with confidence.

TRF#

GENOMIC FINDINGS

GENE  
**MPL**

ALTERATION  
Y252H

POTENTIAL TREATMENT STRATEGIES

Activating MPL mutations have been shown to lead to constitutive signaling through the JAK-STAT pathway<sup>76-77</sup>, and multiple preclinical studies have reported that JAK inhibitors such as the approved therapy ruxolitinib exhibit antitumorigenic effects in models of myeloproliferative neoplasm (MPN) driven by MPL mutations<sup>76-82</sup>. However, while ruxolitinib and other JAK inhibitors have provided clinical benefit to patients with MPN

harboring activating JAK2 mutations<sup>83-87</sup>, of 5 patients with MPN harboring MPL mutations have benefited from treatment with JAK inhibitors<sup>86-87</sup>. Therefore, this approach is not predicted to be effective. Both upregulation of wild-type TPO-R expression and activating MPL mutations have been shown to activate the PI3K-AKT pathway in cancer cells<sup>76-77,88</sup>. Preclinical investigation of an AKT inhibitor in a model of MPL-driven MPN<sup>89</sup> and clinical evidence from a trial of the mTOR inhibitor everolimus in MPN patients<sup>90</sup> suggest that MPL activation may predict sensitivity to inhibitors of AKT or mTOR, which are under investigation in clinical trials.

FREQUENCY & PROGNOSIS

In the TCGA Acute Myeloid Leukemia dataset, MPL mutation was seen in less than 1% (1/200) of cases<sup>91</sup>. High MPL expression predicts thrombocytopenia and neutropenia in AML<sup>92</sup>.

FINDING SUMMARY

MPL (myeloproliferative leukemia virus oncogene) encodes the thrombopoietin receptor (TPO-R) protein, a cytokine receptor that promotes megakaryocyte differentiation through the JAK-STAT and MAPK/ERK signaling pathways<sup>93-95</sup>. Upon binding thrombopoietin, the TPO-R protein activates JAK2 and downstream signaling<sup>96</sup>. The MPL alteration seen here is predicted to be activating and oncogenic<sup>77,97-104</sup>.

GENE  
**KDM5A**

ALTERATION  
NUP98-KDM5A fusion

POTENTIAL TREATMENT STRATEGIES

There are no targeted therapies available to directly address genomic alterations in KDM5A. However, multiple preclinical studies have identified potential targets in KDM5A amplified or activated cells that may respond to therapy. KDM5A-mediated chromatin remodeling induces CCND1 expression and represses CDKI expression<sup>105-109</sup>; therefore, KDM5A activation or amplification may sensitize cells to CDK4/CDK6 inhibitors. Drug-resistant cell populations, characterized by elevated KDM5A expression, responded to histone deacetylase (HDAC) inhibition<sup>110</sup>, suggesting that HDAC inhibitors may be a potential therapeutic option. KDM5A also induces expression of VEGF and promotes angiogenesis, oncogenic transformation, and

tumorigenesis, which can be inhibited by KDM5A knockdown<sup>111-112</sup>, suggesting that tumors harboring KDM5A amplification may be sensitive to angiogenesis inhibitors, including kinase inhibitors that target the VEGF receptors, such as sunitinib, sorafenib, vandetanib, ponatinib, cabozantinib, regorafenib, pazopanib, and axitinib. However, these inhibitors have yet to be extensively tested in the context of KDM5A amplification or activation; therefore, it is not known if these therapeutic strategies are relevant.

FREQUENCY & PROGNOSIS

KDM5A amplification has been reported with the highest incidence in TCGA datasets in testicular germ cell cancer (20%), ovarian serous cystadenocarcinoma (11%), lung squamous cell carcinoma (7%), cholangiocarcinoma (7%), and lower grade glioma (6%) (cBioPortal, 2018). Elevated levels of KDM5A expression have also been reported in a range of solid tumor types<sup>106-107,109,111,113-114</sup>, and fusion of KDM5A to NUP98 has been

documented in acute myeloid leukemia<sup>115-116</sup>. KDM5A expression is significantly correlated with HIF-1α and VEGF expression, as well as tumor size, angiogenesis, and poor patient prognosis in lung cancer<sup>112</sup>.

FINDING SUMMARY

KDM5A encodes a lysine-specific histone demethylase that potentiates the expression of genes involved in cellular proliferation, senescence, angiogenesis, and migration<sup>105-106,111,117-118</sup>. KDM5A overexpression alters the transcriptional regulation of cell cycle genes, including CCND1, and a variety of cyclin-dependent kinase inhibitors (CDKIs), including CDKN1A, CDKN1B, and CDKN2A, and results in cell cycle progression<sup>105-109,111</sup>. Additionally, elevated KDM5A expression and associated chromatin remodeling has been implicated in resistance to various tyrosine kinase inhibitors in vitro, including erlotinib and gefitinib<sup>110,113,119</sup>.

TRF#

CLINICAL TRIALS

**IMPORTANT** Clinical trials are ordered by gene and prioritized by: age range inclusion criteria for pediatric patients, proximity to ordering medical facility, later trial phase, and verification of trial information within the last two months. While every effort is made to ensure the accuracy of the information contained below, the information available in the public domain is continually

updated and should be investigated by the physician or research staff. This is not a comprehensive list of all available clinical trials. Foundation Medicine displays a subset of trial options and ranks them in this order of descending priority: Qualification for pediatric trial → Geographical proximity → Later trial phase. Clinical trials listed here may have additional enrollment criteria that

may require medical screening to determine final eligibility. For additional information about listed clinical trials or to conduct a search for additional trials, please see [clinicaltrials.gov](https://www.foundationmedicine.com/genomic-testing#support-services). Or visit <https://www.foundationmedicine.com/genomic-testing#support-services>.

GENE

**MPL**

ALTERATION

**Y252H**

**RATIONALE**

MPL activation may predict sensitivity to inhibitors of the AKT-mTOR pathway.

### NCT02813135

PHASE 1/2

European Proof-of-Concept Therapeutic Stratification Trial of Molecular Anomalies in Relapsed or Refractory Tumors

**TARGETS**

TOP1, CDK6, CDK4, mTOR, WEE1, PARP, mTORC1, mTORC2, PD-1

**LOCATIONS:** Villejuif (France)

### NCT01552434

PHASE 1

Bevacizumab, Temsirolimus Alone and in Combination With Valproic Acid or Cetuximab in Patients With Advanced Malignancy and Other Indications

**TARGETS**

VEGFA, HDAC, mTOR, EGFR

**LOCATIONS:** Texas

### NCT01582191

PHASE 1

A Phase 1 Trial of Vandetanib (a Multi-kinase Inhibitor of EGFR, VEGFR and RET Inhibitor) in Combination With Everolimus (an mTOR Inhibitor) in Advanced Cancer

**TARGETS**

mTOR, EGFR, RET, SRC, VEGFRs

**LOCATIONS:** Texas

TRF#

APPENDIX

Variants of Unknown Significance

**NOTE** One or more variants of unknown significance (VUS) were detected in this patient's tumor. These variants may not have been adequately characterized in the scientific literature at the time this report was issued, and/or the genomic context of these alterations makes their significance unclear. We choose to include them here in the event that they become clinically meaningful in the future.

**AR**  
P380R

**ARID2**  
L57S

**ATRX**  
E1464del

**BRCA1**  
Q148K

**CBL**  
P782L

**CD36**  
Y325\*

**EPHA7**  
A433T

**FAM46C**  
L117\_E122>WQEVQK

**FGFR3**  
P799L

**MET**  
M35I

**MLL2**  
P2354S

**PDGFRA**  
L967V

**PIM1**  
E142D

**PTCH1**  
E44G

**RICTOR**  
R508Q

**SDHB**  
N106S

TRF#

FoundationOne Heme is designed to include genes known to be somatically altered in human hematologic malignancies and sarcomas that are validated targets for therapy, either approved or in clinical trials, and/or that are unambiguous drivers of oncogenesis based on current knowledge. The current assay utilizes DNA sequencing to interrogate 406 genes as well as selected introns of 31 genes involved in rearrangements, in addition to RNA sequencing of 265 genes. The assay will be updated periodically to reflect new knowledge about cancer biology.

**HEMATOLOGICAL MALIGNANCY DNA GENE LIST: ENTIRE CODING SEQUENCE FOR THE DETECTION OF BASE SUBSTITUTIONS, INSERTION/DELETIONS, AND COPY NUMBER ALTERATIONS**

ABL1	ACTB	AKT1	AKT2	AKT3	ALK	AMER1 (FAM123B or WTX)	APC
APH1A	AR	ARAF	ARFRP1	ARHGAP26 (GRAF)	ARID1A	ARID2	ASMTL
ASXL1	ATM	ATR	ATRX	AURKA	AURKB	AXIN1	AXL
BAP1	BARD1	BCL10	BCL11B	BCL2	BCL2L2	BCL6	BCL7A
BCORL1	BIRC3	BLM	BRAF	BRCA1	BRCA2	BRD4	BRIP1
BTG2	BTK	BTLA	C11orf30 (EMSY)	CAD	CALR*	CARD11	CBFB
CCND1	CCND2	CCND3	CCNE1	CCT6B	CD22	CD274 (PD-L1)	CD36
CD70	CD79A	CD79B	CDC73	CDH1	CDK12	CDK4	CDK6
CDKN1B	CDKN2A	CDKN2B	CDKN2C	CEBPA	CHD2	CHEK1	CHEK2
CIITA	CKS1B	CPS1	CREBBP	CRKL	CRLF2	CSF1R	CSF3R
CTNNA1	CTNNB1	CUX1	CXCR4	DAXX	DDR2	DDX3X	DNM2
DOT1L	DTX1	DUSP2	DUSP9	EBF1	ECT2L	EED	EGFR
EP300	EPHA3	EPHA5	EPHA7	EPHB1	ERBB2	ERBB3	ERBB4
ESR1	ETS1	ETV6	EXOSC6	EZH2	FAF1	FAM46C	FANCA
FANCD2	FANCE	FANCF	FANCG	FANCL	FAS (TNFRSF6)	FBXO11	FBXO31
FGF10	FGF14	FGF19	FGF23	FGF3	FGF4	FGF6	FGFR1
FGFR3	FGFR4	FHIT	FLCN	FLT1	FLT3	FLT4	FLYWCH1
FOXO1	FOXO3	FOXP1	FRS2	GADD45B	GATA1	GATA2	GATA3
GNA11	GNA12	GNA13	GNAQ	GNAS	GPR124	GRIN2A	GSK3B
HDAC1	HDAC4	HDAC7	HGF	HIST1H1C	HIST1H1D	HIST1H1E	HIST1H2AC
HIST1H2AL	HIST1H2AM	HIST1H2BC	HIST1H2BJ	HIST1H2BK	HIST1H2BO	HIST1H3B	HNF1A
HSP90AA1	ICK	ID3	IDH1	IDH2	IGF1R	IKBKE	IKZF1
IKZF3	IL7R	INHBA	INPP4B	INPP5D (SHIP)	IRF1	IRF4	IRF8
JAK1	JAK2	JAK3	JARID2	JUN	KAT6A (MYST3)	KDM2B	KDM4C
KDM5C	KDM6A	KDR	KEAP1	KIT	KLHL6	KMT2A (MLL)	KMT2C (MLL3)
KRAS	LEF1	LRP1B	LRRK2	MAF	MAFB	MAGED1	MALT1
MAP2K2	MAP2K4	MAP3K1	MAP3K14	MAP3K6	MAP3K7	MAPK1	MCL1
MDM4	MED12	MEF2B	MEF2C	MEN1	MET	MIB1	MITF
MLH1	MPL	MRE11A	MSH2	MSH3	MSH6	MTOR	MUTYH
MYCL (MYCL1)	MYCN	MYD88	MYO18A	NCOR2	NCSTN	NF1	NF2
NFKBIA	NKX2-1	NOD1	NOTCH1	NOTCH2	NPM1	NRAS	NT5C2
NTRK2	NTRK3	NUP93	NUP98	P2RY8	PAG1	PAK3	PALB2
PAX5	PBRM1	PC	PCBP1	PCLO	PDCD1	PDCD11	PDCD1LG2 (PD-L2)
PDGFRB	PDK1	PHF6	PIK3CA	PIK3CG	PIK3R1	PIK3R2	PIM1
POT1	PPP2R1A	PRDM1	PRKAR1A	PRKDC	PRSS8	PTCH1	PTEN
PTPN2	PTPN6 (SHP-1)	PTPRO	RAD21	RAD50	RAD51	RAF1	RARA
RB1	RELN	RET	RHOA	RICTOR	RNF43	ROS1	RPTOR
S1PR2	SDHA	SDHB	SDHC	SDHD	SERP2	SETBP1	SETD2
SGK1	SMAD2	SMAD4	SMARCA1	SMARCA4	SMARCB1	SMC1A	SMC3
SOC31	SOC32	SOC33	SOX10	SOX2	SPEN	SPOP	SRC
STAG2	STAT3	STAT4	STAT5A	STAT5B	STAT6	STK11	SUFU
TAF1	TBL1XR1	TCF3 (E2A)	TCL1A (TCL1)	TET2	TGFBR2	TLL2	TMEM30A
TMSB4XP8 (TMSL3)	TNFAIP3	TNFRSF11A	TNFRSF14	TNFRSF17	TOP1	TP53	TP63
TRAF2	TRAF3	TRAF5	TSC1	TSC2	TSHR	TUSC3	TYK2
U2AF2	VHL	WDR90	WHSC1 (MMSET or NSD2)	WISP3	WT1	XBP1	XPO1
YYIAP1	ZMYM3	ZNF217	ZNF24 (ZSCAN3)	ZNF703	ZRSR2		

\*Note: the assay was updated on 11/8/2016 to include the detection of alterations in CALR



TRF#

APPENDIX

Genes Assayed in FoundationOne®Heme

HEMATOLOGICAL MALIGNANCY DNA GENE LIST: FOR THE DETECTION OF SELECT REARRANGEMENTS

ALK	BCL2	BCL6	BCR	BRAF	CCND1	CRLF2	EGFR	EPOR
ETV1	ETV4	ETV5	ETV6	EWSR1	FGFR2	IGH	IGK	IGL
JAK1	JAK2	KMT2A (MLL)	MYC	NTRK1	PDGFRA	PDGFRB	RAF1	RARA
RET	ROS1	TMPRSS2	TRG					

HEMATOLOGICAL MALIGNANCY RNA GENE LIST: FOR THE DETECTION OF SELECT REARRANGEMENTS

ABI1	ABL1	ABL2	ACSL6	AFF1	AFF4	ALK	ARHGAP26 (GRAF)	
ARHGEF12	ARID1A	ARNT	ASXL1	ATF1	ATG5	ATIC	BCL10	BCL11A
BCL11B	BCL2	BCL3	BCL6	BCL7A	BCL9	BCOR	BCR	BIRC3
BRAF	BTG1	CAMTA1	CARS	CBFA2T3	CBBF	CBL	CCND1	CCND2
CCND3	CD274 (PD-L1)	CDK6	CDX2	CHIC2	CHN1	CIC	CIITA	CLP1
CLTC	CLTCL1	CNTRL (CEP110)	COL1A1	CREB3L1	CREB3L2	CREBBP	CRLF2	CSF1
CTNNB1	DDIT3	DDX10	DDX6	DEK	DUSP22	EGFR	EIF4A2	ELF4
ELL	ELN	EML4	EP300	EPOR	EPS15	ERBB2	ERG	ETS1
ETV1	ETV4	ETV5	ETV6	EWSR1	FCGR2B	FCRL4	FEV	FGFR1
FGFR10P	FGFR2	FGFR3	FLI1	FBNP1	FOXO1	FOXO3	FOXO4	FOXP1
FSTL3	FUS	GAS7	GLI1	GMPS	GPHN	HERPUD1	HEY1	HIP1
HIST1H4I	HLF	HMGA1	HMGA2	HOXA11	HOXA13	HOXA3	HOXA9	HOXC11
HOXC13	HOXD11	HOXD13	HSP90AA1	HSP90AB1	IGH	IGK	IGL	IKZF1
IL21R	IL3	IRF4	ITK	JAK1	JAK2	JAK3	JAZF1	KAT6A (MYST3)
KDSR	KIF5B	KMT2A (MLL)	LASP1	LCP1	LMO1	LMO2	LPP	LYL1
MAF	MAFB	MALT1	MDS2	MECOM	MKL1	MLF1	MLLT1 (ENL)	MLLT10 (AF10)
MLLT3	MLLT4 (AF6)	MLLT6	MN1	MXN1	MSI2	MSN	MUC1	MYB
MYC	MYH11	MYH9	NACA	NBEAP1 (BCL8)	NCOA2	NDRG1	NF1	NF2
NFKB2	NIN	NOTCH1	NPM1	NR4A3	NSD1	NTRK1	NTRK2	NTRK3
NUMA1	NUP214	NUP98	NUTM2A	OMD	P2RY8	PAFAH1B2	PAX3	PAX5
PAX7	PBX1	PCM1	PCSK7	PDCD1LG2 (PD-L2)	PDE4DIP	PDGFB	PDGFRA	PDGFRB
PER1	PHF1	PICALM	PIM1	PLAG1	PML	POU2AF1	PPP1CB	PRDM1
PRDM16	PRRX1	PSIP1	PTCH1	PTK7	RABEP1	RAF1	RALGDS	RAP1GDS1
RARA	RBM15	RET	RHOH	RNF213	ROS1	RPL22	RPN1	RUNX1
RUNX1T1 (ETO)	RUNX2	SEC31A	SEPT5	SEPT6	SEPT9	SET	SH3GL1	SLC1A2
SNX29 (RUNDC2A)	SRSF3	SS18	SSX1	SSX2	SSX4	STAT6	STL	SYK
TAF15	TAL1	TAL2	TBL1XR1	TCF3 (E2A)	TCL1A (TCL1)	TEC	TET1	TFE3
TFG	TFPT	TFRC	TLX1	TLX3	TMPRSS2	TNFRSF11A	TOP1	TP63
TPM3	TPM4	TRIM24	TRIP11	TTL	TYK2	USP6	WHSC1 (MMSET or NSD2)	
WHSC1L1	YPEL5	ZBTB16	ZMYM2	ZNF384	ZNF521			

ADDITIONAL ASSAYS: FOR THE DETECTION OF SELECT CANCER BIOMARKERS

Microsatellite (MS) status  
Tumor Mutational Burden (TMB)

TRF#

APPENDIX

Performance Specifications

The median exon coverage for this sample is 728x

ACCURACY

Sensitivity: Base Substitutions	At ≥5% Minor Allele Frequency	>99.0%
Sensitivity: Insertions/Deletions (1-40bp)	At ≥10% Minor Allele Frequency	98.0%
Sensitivity: Focal Copy Number Alterations (Homozygous Deletions or Amplifications)	At ≥8% copies	>95.0%
Sensitivity: Microsatellite status	At ≥20% tumor nuclei	97.0%
Sensitivity: Known Gene Fusions	>95.0%	
Specificity: Base Substitutions, Insertions/Deletions, and Focal Copy Number Alterations	Positive Predictive Value (PPV)	>99.0%
Specificity: Known Gene Fusions	Positive Predictive Value (PPV)	>95.0%
Specificity: Microsatellite status	Positive Predictive Value (PPV)	>95.0%
Accuracy: Tumor Mutation Burden	At ≥20% tumor nuclei	>90.0%
Reproducibility (average concordance between replicates)	97.0% inter-batch precision 97.0% intra-batch precision 95.0% microsatellite status precision 96.0% tumor mutation burden precision	

Assay specifications were determined for pical median exon coverage of approximately 500X. For additional information regarding the validation of FoundationOne, please refer to the article, Frampton, GM. et al. Development and validation of a clinical cancer genomic profiling test based on massively parallel DNA sequencing, Nat Biotechnol (2013 Oct. 20).

Microsatellite status, which is a measure of microsatellite instability (MSI), is determined by assessing indel characteristics at 114 homopolymer repeat loci in or near the targeted gene regions of the FoundationOne Heme test. Microsatellite status is assayed for all FoundationOne Heme samples and may be reported as "MSI-High", "MSI-Intermediate", "MS-Stable", or "Cannot Be Determined". Microsatellite status is reported as "Cannot Be Determined" if the sample is not of sufficient quality to be confidently determined.

Tumor Mutational Burden (TMB) is determined by measuring the number of somatic mutations occurring in sequenced genes on the FoundationOne and FoundationOne Heme tests and extrapolating to the genome as a whole. TMB is assayed for all FoundationOne and FoundationOne Heme samples and may be reported as "TMB-High", "TMB-Intermediate", "TMB-Low", or "Cannot Be Determined". TMB results, which are rounded to the nearest integer, are determined as follows: TMB-High corresponds to greater than or equal to 20 mutations per megabase (Muts/Mb); TMB-Intermediate corresponds to 6-19 Muts/Mb; TMB-Low corresponds to fewer than or equal to 5 Muts/Mb. Tumor Mutational Burden is reported as "Cannot Be Determined" if the sample is not of sufficient quality to confidently determine Tumor Mutational Burden.

For additional information specific to the performance of this specimen, please contact Foundation Medicine, Inc. at 1-888-988-3639.



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**APPENDIX**
**About FoundationOne®Heme**

## ABOUT FOUNDATIONONE HEME

FoundationOne®Heme is a comprehensive genomic profiling test for hematologic malignancies and sarcomas. The test is designed to provide physicians with clinically actionable information to help with diagnostic sub-classification, prognosis assessment, and targeted therapeutic selection. Test results provide information about clinically significant alterations, potential targeted therapies, available clinical trials, and quantitative markers that may support immunotherapy clinical trial enrollment. FoundationOne Heme is analytically validated to detect all classes of genomic alterations in more than 400 cancer-related genes. In addition to DNA sequencing, FoundationOne Heme employs RNA sequencing across more than 250 genes to capture a broad range of gene fusions, common drivers of hematologic malignancies and sarcomas.

FoundationOne Heme was developed and its performance characteristics determined by Foundation Medicine, Inc. (Foundation Medicine). FoundationOne Heme has not been cleared or approved by the United States Food and Drug Administration (FDA). The FDA has determined that such clearance or approval is not necessary. FoundationOne Heme may be used for clinical purposes and should not be regarded as purely investigational or for research only. Foundation Medicine's clinical reference laboratory is certified under the Clinical Laboratory Improvement Amendments of 1988 (CLIA) as qualified to perform high-complexity clinical testing.

## THE REPORT

Incorporates analyses of peer-reviewed studies and other publicly available information identified by Foundation Medicine; these analyses and information may include associations between a molecular alteration (or lack of alteration) and one or more drugs with potential clinical benefit (or potential lack of clinical benefit), including drug candidates that are being studied in clinical research. Note: A finding of biomarker alteration does not necessarily indicate pharmacologic effectiveness (or lack thereof) of any drug or treatment regimen; a finding of no biomarker alteration does not necessarily indicate lack of pharmacologic effectiveness (or effectiveness) of any drug or treatment regimen.

### Diagnostic Significance

FoundationOne Heme identifies alterations to select cancer-associated genes or portions of genes (biomarkers). In some cases, the Report also highlights selected negative test results regarding biomarkers of clinical significance.

### Qualified Alteration Calls (Equivocal and Subclonal)

An alteration denoted as "amplification – equivocal" implies that FoundationOne Heme data provide some, but not unambiguous, evidence that the copy

number of a gene exceeds the threshold for identifying copy number amplification. The threshold used in FoundationOne Heme for identifying a copy number amplification is five (5) for *ERBB2* and six (6) for all other genes. Conversely, an alteration denoted as "loss – equivocal" implies that FoundationOne Heme data provide some, but not unambiguous, evidence for homozygous deletion of the gene in question. An alteration denoted as "subclonal" is one that FoundationOne Heme analytical methodology has identified as being present in <10% of the assayed tumor DNA.

### Ranking of Alterations and Therapies

#### Biomarker Findings

Appear at the top of the report, but are not ranked higher than Genomic Findings.

#### Genomic Findings

Therapies with Clinical Benefit In Patient's Tumor Type → Therapies with Clinical Benefit In Other Tumor Type → Clinical Trial Options → No Known Options (If multiple findings exist within any of these categories, the results are listed alphabetically by gene name.)

#### Therapies

Sensitizing therapies → Resistant therapies. (If multiple therapies exist within any of these categories, they are listed alphabetically by therapy name.)

#### Clinical Trials

Pediatric trial qualification → Geographical proximity → Later trial phase.

## LEVEL OF EVIDENCE NOT PROVIDED

Drugs with potential clinical benefit (or potential lack of clinical benefit) are not evaluated for source or level of published evidence.

## NO GUARANTEE OF CLINICAL BENEFIT

This Report makes no promises or guarantees that a particular drug will be effective in the treatment of disease in any patient. This Report also makes no promises or guarantees that a drug with potential lack of clinical benefit will in fact provide no clinical benefit.

## NO GUARANTEE OF REIMBURSEMENT

Foundation Medicine makes no promises or guarantees that a healthcare provider, insurer or other third party payor, whether private or governmental, will reimburse a patient for the cost of FoundationOne Heme.

## TREATMENT DECISIONS ARE RESPONSIBILITY OF PHYSICIAN

Drugs referenced in this Report may not be suitable for a particular patient. The selection of any, all or none of the drugs associated with potential clinical benefit (or potential lack of clinical benefit) resides

entirely within the discretion of the treating physician. Indeed, the information in this Report must be considered in conjunction with all other relevant information regarding a particular patient, before the patient's treating physician recommends a course of treatment. Decisions on patient care and treatment must be based on the independent medical judgment of the treating physician, taking into consideration all applicable information concerning the patient's condition, such as patient and family history, physical examinations, information from other diagnostic tests, and patient preferences, in accordance with the standard of care in a given community. A treating physician's decisions should not be based on a single test, such as this Test, or the information contained in this Report. Certain sample or variant characteristics may result in reduced sensitivity. These include: subclonal alterations in heterogeneous samples, low sample quality or with homozygous losses of <3 exons; and deletions and insertions >40bp, or in repetitive/high homology sequences. FoundationOne Heme is performed using DNA and RNA derived from tumor, and as such germline events may not be reported.

The following targets typically have low coverage resulting in a reduction in sensitivity: SDHD exon 4, TNFRSF11A exon1, and TP53 exon 1.

FoundationOne Heme complies with all European Union (EU) requirements of the IVD Directive 98/79EC. As such, the FoundationOne Heme Assay has been registered for CE mark by our EU Authorized Representative, Qarad b.v.b.a, Pas 257, B-2440 Geel, Belgium.



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APPENDIX

About FoundationOne®Heme

## SELECT ABBREVIATIONS

ABBREVIATION	DEFINITION
CR	Complete response
DCR	Disease control rate
DNMT	DNA methyltransferase
HR	Hazard ratio
ITD	Internal tandem duplication
MMR	Mismatch repair
mut/Mb	Mutations per megabase
NOS	Not otherwise specified
ORR	Objective response rate
OS	Overall survival
PD	Progressive disease
PFS	Progression-free survival
PR	Partial response
SD	Stable disease
TKI	Tyrosine kinase inhibitor

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